

UNITED STATES DISTRICT COURT  
FOR THE EASTERN DISTRICT OF CALIFORNIA

DENISE R. BUETHE

Plaintiff.

V<sub>a</sub>

COMMISSIONER OF SOCIAL SECURITY.

Defendant.

No. 2:20-cv-552-KJN

## ORDER ON PARTIES' CROSS-MOTIONS FOR SUMMARY JUDGMENT

(ECF Nos. 15, 19.)

Plaintiff seeks judicial review of a final decision by the Commissioner of Social Security denying her application for Disability Insurance Benefits under Title II the Social Security Act.<sup>1</sup> In her motion for summary judgment, plaintiff contends the Administrative Law Judge (“ALJ”) erred by discounting the opinion of her physician and misreading the opinions of the state-agency physicians; and erred in failing to articulate clear and convincing reasons for rejecting the subjective-symptom testimony. The Commissioner contends in its cross-motion that the ALJ’s decision is supported by substantial evidence and free from legal error.

For the reasons set forth below, the court DENIES the Commissioner's cross-motion for summary judgment, GRANTS plaintiff's motion, and REMANDS for further consideration.

<sup>1</sup> This action was referred to the undersigned pursuant to 28 U.S.C. § 636 and Local Rule 302(c)(15). Both parties consented to proceed before a United States Magistrate Judge, and the case was reassigned to the undersigned for all purposes. (ECF Nos. 6, 9, 18.)

1      **I. RELEVANT LAW**

2      The Social Security Act provides benefits for qualifying individuals with disabilities.  
3      Disability is defined, in part, as an inability to “engage in any substantial gainful activity” due to  
4      “a medically determinable physical or mental impairment.” 42 U.S.C. §§ 423(d)(1)(a) (Title II).  
5      An ALJ is to follow a five-step sequence when evaluating an applicant’s eligibility for benefits.<sup>2</sup>  
6      20 C.F.R. § 404.1520(a)(4).

7      A district court may reverse the agency’s decision only if the ALJ’s decision “contains  
8      legal error or is not supported by substantial evidence.” Ford v. Saul, 950 F.3d 1141, 1154 (9th  
9      Cir. 2020). Substantial evidence is more than a mere scintilla, but less than a preponderance, i.e.,  
10     “such relevant evidence as a reasonable mind might accept as adequate to support a conclusion.”  
11     Id. The court reviews the record as a whole, including evidence that both supports and detracts  
12     from the ALJ’s conclusion. Luther v. Berryhill, 891 F.3d 872, 875 (9th Cir. 2018). However, the  
13     court may review only the reasons provided by the ALJ in the decision, and may not affirm on a  
14     ground upon which the ALJ did not rely. Id. “[T]he ALJ must provide sufficient reasoning that  
15     allows [the court] to perform [a] review.” Lambert v. Saul, 980 F.3d 1266, 1277 (9th Cir. 2020).

16     The ALJ “is responsible for determining credibility, resolving conflicts in medical  
17     testimony, and resolving ambiguities.” Ford, 950 F.3d at 1154. Where evidence is susceptible to  
18     more than one rational interpretation, the ALJ’s conclusion “must be upheld.” Id. Further, the  
19     court may not reverse the ALJ’s decision on account of harmless error. Id.

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20     <sup>2</sup> The sequential evaluation is summarized as follows:

21     **Step one:** Is the claimant engaging in substantial gainful activity? If so, the  
22     claimant is found not disabled. If not, proceed to step two.

23     **Step two:** Does the claimant have a “severe” impairment? If so, proceed to step  
24     three. If not, then a finding of not disabled is appropriate.

25     **Step three:** Does the claimant’s impairment or combination of impairments meet  
26     or equal an impairment listed in 20 C.F.R., Pt. 404, Subpt. P, App. 1? If so, the  
27     claimant is automatically determined disabled. If not, proceed to step four.

28     **Step four:** Is the claimant capable of performing past relevant work? If so, the  
claimant is not disabled. If not, proceed to step five.

29     **Step five:** Does the claimant have the residual functional capacity to perform any  
other work? If so, the claimant is not disabled. If not, the claimant is disabled.

30     Lester v. Chater, 81 F.3d 821, 828 n.5 (9th Cir. 1995). The burden of proof rests with the  
claimant through step four, and with the Commissioner at step five. Ford, 950 F.3d at 1148.

1       **II.      BACKGROUND AND ALJ'S FIVE-STEP ANALYSIS**

2           In October of 2017, plaintiff applied for Disability Insurance Benefits, alleging disability  
3       due to “neuropathy; Rheumatoid arthritis; no cartilage in knees; can’t stand or sit too long; hip  
4       problems; back problems due to curved spine; diabetes; constant body pain; PTSD; [and]  
5       depression.” (Administrative Transcript (“AT”) 73 and 159-65, electronically filed at ECF  
6       No. 12.) Plaintiff’s application was twice denied, and she sought review with an ALJ after being  
7       appointed counsel. (See AT 71, 84, 100.) The ALJ held a hearing on November 15, 2018, where  
8       plaintiff testified about her symptoms and a Vocational Expert (“VE”) testified regarding jobs for  
9       someone with plaintiff’s limitations. (See AT 32-57.)

10          On February 26, 2019, the ALJ issued a decision determining plaintiff was not disabled  
11       from her onset date forward. (AT 15-27.) At step one, the ALJ found plaintiff had not engaged  
12       in substantial gainful activity since her alleged onset date of April 30, 2015. (AT 17.) At step  
13       two, the ALJ noted plaintiff had the following severe impairments: major depressive disorder  
14       with panic attacks, right knee meniscal tear, and left knee meniscal tear. (Id.) The ALJ found  
15       plaintiff’s diabetes to be non-severe as “very well controlled,” her obesity did not require  
16       additional limitations beyond those ascribed in the RFC, and no medical evidence supported  
17       plaintiff’s allegation that she had rheumatoid arthritis. (AT 17-18.) At step three, the ALJ  
18       determined plaintiff was not disabled under the listings. (AT 18, citing 20 C.F.R. Part 404,  
19       Subpart P, Appendix 1).

20          The ALJ then determined plaintiff had the Residual Functional Capacity (“RFC”) to  
21       perform light work as defined in 20 C.F.R. § 404.1567(b), except that:

22           [S]he can lift 20 pounds occasionally and 10 pounds frequently; stand, walk,  
23       and/or sit for 6 hours out [of] 8; occasionally climb ramps and stairs; occasionally  
24       crouch, kneel, crawl, or stoop; never climb ladders, ropes, or scaffolds; can  
25       perform noncomplex and routine tasks; can have occasional public contact; must  
          have a sit/stand option to change position every 30 minutes while remaining on  
          task.

26          (AT 20.) In fashioning this RFC, the ALJ stated she considered plaintiff’s symptoms, the medical  
27       evidence, and professional medical opinions in the record. (Id.) Relevant here, the ALJ was not  
28       persuaded by the more-limiting opinion of plaintiff’s primary-care physician, Dr. Freund, stating

1 the form lacked detail in certain diagnoses and timeframes and otherwise lacking consistency  
2 with the record. (AT 25.) The ALJ was also “somewhat persuaded” by the opinions of Drs.  
3 Huynh and Ruo (expressed in the prior administrative medical findings), accepting more  
4 limitations in some areas and less in others. (AT 24-25.) Finally, the ALJ rejected the more  
5 limiting portions of plaintiff’s subjective symptom testimony as inconsistent with the medical  
6 evidence, conservative course of treatment, plaintiff’s daily activities, and the “longitudinal  
7 record.” (AT 24.) Based on this RFC and the VE’s testimony, the ALJ concluded that while  
8 plaintiff was incapable of performing past relevant work as a “department manager,” there were  
9 “jobs that exist in significant numbers in the national economy that [she] can perform.” (AT 25-  
10 27.) These jobs included Bench Assembler, Final Inspector, and Inspector and Hand Packager,  
11 all light, unskilled positions with close to 300,000 positions in the national economy. (AT 27.)  
12 Thus, the ALJ determined plaintiff was not disabled for the relevant period. (Id.)

13 The Appeals Council denied plaintiff’s appeal. (AT 1-6.) Thereafter, plaintiff filed this  
14 action requesting review of the ALJ’s decision, and the parties each moved for summary  
15 judgment. (ECF Nos. 1, 15, 20.)

16 **III. DISCUSSION**

17 Plaintiff contends the ALJ erred in failing to properly articulate and accurately assess the  
18 persuasiveness of the opinions of her “treating” physician Dr. Freund as well as those expressed  
19 in the prior administrative medical findings. She also contends the ALJ failed to articulate clear  
20 and convincing reasons for rejecting plaintiff’s subjective-symptom testimony. Thus, plaintiff  
21 requests this court remand for further proceedings. (ECF No. 15, 21.)

22 The Commissioner requests affirmance, arguing the ALJ expressed six separate reasons  
23 why Dr. Freund’s opinion was “not persuasive,” each of which the Commissioner argues is  
24 legally sound and supported by the record. The Commissioner also argues the ALJ reasonably  
25 considered the persuasiveness of Drs. Hyunh’s and Ruo’s findings. The Commissioner contends  
26 substantial evidence supports the ALJ’s evaluation of plaintiff’s symptoms. (ECF No. 19.)

27 Because further proceedings are required regarding the opinions of Drs. Freund, Hyunh,  
28 and Ruo, the court does not reach the subjective-symptom-testimony issue.

1                   Legal Standards

2                   On January 18, 2017, the Social Security Administration published comprehensive  
3 revisions to its regulations regarding the evaluation of medical evidence. See Revisions to Rules  
4 Regarding the Evaluation of Medical Evidence, 82 Fed. Reg. 5844-01 (January 18, 2017)  
5 (available at 2017 WL 168819). For applications filed on or after March 27, 2017,<sup>3</sup> the new  
6 regulations state an ALJ need “not defer or give any specific evidentiary weight, including  
7 controlling weight, to any medical opinion(s) or prior administrative medical finding(s)  
8 (“PAMF”) [i.e., state-agency medical consultants], including those from [plaintiff’s] medical  
9 sources.” See 20 C.F.R. § 404.1520c(a). Instead, an ALJ is to evaluate opinions and PAMFs by  
10 considering their “persuasiveness.” § 404.1520c(a). In determining how “persuasive” the  
11 opinion of a medical source or PAMF is, an ALJ must consider the following factors:  
12 supportability, consistency, treatment relationship, specialization, and “other factors.”  
13 § 404.1520c(b), (c)(1)-(5). Despite a requirement to “consider” all factors, the ALJ’s duty to  
14 articulate a rationale for each factor varies. § 404.1520c(a)-(b).

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16                   <sup>3</sup> For applications filed prior to March 27, 2017, an ALJ was to give more weight to “those  
17 physicians with the most significant clinical relationship with the plaintiff . . . .” Carmickle v.  
18 Comm’r, 533 F.3d 1155, 1164 (9th Cir. 2008). This “treating physician rule” allowed an ALJ to  
19 reject a treating or examining physician’s uncontradicted medical opinion only for “clear and  
convincing reasons,” and allowed a contradicted opinion to be rejected for “specific and  
20 legitimate reasons that are supported by substantial evidence in the record.” Id. However, the  
agency has moved away from this hierarchy. See 82 Fed. Reg. 5844. In doing so, it is not yet  
21 clear how much the new regulations affect other Ninth Circuit principles governing Social  
Security review, as appeals of decisions governed by the new regulations are only just beginning  
22 to reach the district courts. In the absence of binding interpretation by the Ninth Circuit, the court  
joins other district courts in concluding that longstanding general principles of judicial review—  
23 especially those rooted in the text of the Social Security Act—still apply to cases filed on or after  
March 27, 2017. Cf. e.g., Jones v. Saul, 2021 WL 620475, \*10 (E.D. Cal. Feb. 17, 2021) (finding  
24 the ALJ legitimately found a physician’s opinion unpersuasive by accurately noting the  
inconsistency between the opinion and the treatment notes, relying in part on Valentine v.  
25 Comm’r, 574 F.3d 685 (9th Cir. 2009) (a contradiction between an opinion and treatment notes  
constitutes a “specific and legitimate” reason for rejecting the physician’s opinion)); with Mark  
26 M. M. v. Saul, 2020 WL 2079288, (D. Mont. Apr. 29, 2020) (finding the ALJ failed to “link  
27 purportedly inconsistent evidence with the discounted medical opinion,” relying on Magallanes v.  
Bowen, 881 F.2d 747 (9th Cir. 1989) (ALJ must provide a detailed and thorough summary of  
28 conflicting evidence, and an interpretation and findings thereon)).

1           In all cases, the ALJ must at least “explain how [she] considered” the supportability and  
2 consistency factors, as they are “the most important factors.” § 404.1520c(b)(2). For  
3 supportability, the regulations state: “[t]he more relevant the objective medical evidence and  
4 supporting explanations presented by a medical source are to support his or her medical  
5 opinion(s) or prior administrative medical finding(s), the more persuasive [the opinion or PAMF]  
6 will be.” § 404.1520c(c)(1). For consistency, the regulations state: “[t]he more consistent a  
7 medical opinion(s) or prior administrative medical finding(s) is with the evidence from other  
8 medical sources and nonmedical sources in the claim, the more persuasive [the opinion or PAMF]  
9 will be.” § 404.1520c(c)(2). The ALJ is required to articulate findings on the remaining factors  
10 (relationship with claimant, specialization, and “other”) only when “two or more medical  
11 opinions or prior administrative medical findings about the same issue” are “not exactly the  
12 same,” and both are “equally well-supported [and] consistent with the record.”  
13 § 404.1520c(b)(2)&(3). Finally, the regulations allow an ALJ to address multiple opinions from a  
14 single medical source in one analysis. § 416.920c(b)(1) (“source-level articulation”).

15           Analysis

16           Before reaching the ALJ’s analysis here, the court resolves plaintiff’s argument that the  
17 “treating physician rule,” which required an ALJ to give deference to a treating physician like Dr.  
18 Freund, is still binding on the court. The Commissioner argues this rule was replaced with a  
19 paradigm that places all medical opinions “on equal footing.” See 82 Fed. Reg. at 5852-53.  
20 Plaintiff argues that because the rule was based on case law existing long before the last set of  
21 revisions in the early 1990s, the new regulations have not abrogated this precedent. (See ECF  
22 No. 15.)

23           The court is aware that this issue is currently in dispute across the country, but that as of  
24 this order no circuit court has expressed an opinion either way. For sake of consistency, the court  
25 adopts the findings previously expressed by Magistrate Judge Claire on this issue. Jones v. Saul,  
26 2021 WL 620475, at \*6-9 (E.D. Cal. Feb. 17, 2021) (finding the Commissioner was entitled to  
27 Chevron deference in disposing of the treating physician rule).

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1 Plaintiff alleges error in the ALJ's analysis of physician Dr. Freund's opinion, arguing the  
2 ALJ essentially ignored large portions of the record regarding plaintiff's hip and knee, gait, pain,  
3 and weakness, and asserting highly-technical reasons for finding the opinion unpersuasive.  
4 Further, plaintiff argues the ALJ's description of the findings from Drs. Hyunh and Ruo (in the  
5 prior administrative medical findings) is substantially inaccurate. The court concurs, and finds  
6 the ALJ impermissibly cherry-picked the record when analyzing the supportability and  
7 consistency of these opinions. This results in a decision that is not based on substantial evidence.

8 Plaintiff submitted a May 2018 check-box form from Dr. Freund's office<sup>4</sup> assessing the  
9 following work-related limitations: no lifting more than 5 pounds, standing and walking up to 45  
10 minutes per day in 5 minute increments, sitting for 1½-2 hours in 10-15 minute increments, and  
11 no climbing, balancing, stooping, crouching, kneeling, crawling, pushing/pulling, or performing  
12 certain head movements. (AT 770-771.) The form also indicates plaintiff would be off task over  
13 50% of the time and would likely have good days and bad days more than 4 days per month. (Id.)  
14 The ALJ found Dr. Freund's opinion "not persuasive," explaining:

15 [a] It does not appear that a physician filled out this form and appears  
16 to have two different handwritings. Further, the signature is illegible.  
17 Assuming for the sake of argument that this is the opinion of the  
18 claimant's treating physician, [b] it includes no diagnosis of meniscal  
19 tears. Instead, it references knee "pain." As noted above, pain is not  
20 a medically determinable impairment. [c] Further, the opinion  
21 indicates that the claimant had an abnormal gait, however, as detailed  
22 above, for much of the relevant period this was not the case. [d] Still  
23 further, the opinion references "muscle weakness" without  
24 identifying which muscle groups are affected. As detailed above,  
25 treatment notes reflect full strength in the quadriceps and hamstrings  
26 repeatedly. Still further, the opinion is not specific as to when these  
27 purported limitations would have existed. [e] Even further, he  
28 proposed that the claimant could lift and carry no more than 5 pounds  
and sit no more than 15 minutes at a time, which is less than what  
she reported at the hearing. [f] Finally, as detailed above, Dr.  
Freund's treatment notes do not reflect this level of impairment.

(AT 25.)

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25 <sup>4</sup> The parties dispute whether this form was the actual opinion of Dr. Freund, as the ALJ  
26 expressed some doubt as to its origin. (See AT 25.) On this issue, the court finds no error in the  
27 ALJ's actions. The ALJ is responsible for resolving ambiguities in the record, Ford, 950 F.3d at  
1154, and it appears the ALJ was doing so here by noting some discrepancies in the form.  
Regardless, the ALJ did not end her discussion of this form, but accepted it contained Dr.  
Freund's opinions, and continued to analyze its supportability and consistency.

1           Further, the ALJ found the prior administrative medical findings of Drs. Hyunh and Ruo  
2 “somewhat persuasive,” summarizing them as follows:

3           Dr. Huynh determined that the claimant could lift, carry, push, and pull 20 pounds occasionally and 10 pounds frequently, stand/walk  
4 for 6 hours out of an 8 hour workday, sit for six hours in an eight-  
5 hour workday, occasionally climb ramps and stairs, never climb  
6 ladders, ropes, or scaffolds, and occasionally balance, stoop, kneel,  
7 crouch, and crawl. ([S]ee also opinion of Dr. Y. Ruo reaching similar  
8 conclusions which I find similarly persuasive.) This opinion is based  
9 in part on a finding that the claimant requires a handheld assistive  
10 device for ambulation. That finding is not supported by the record.  
The record does include recommendation for two hinged braces, but  
does not indicate the need for a handheld assistive device for  
ambulation. Accordingly, based on the record before me I have  
determined that the claimant is less limited and can stand/walk for 6  
hours out of an 8 hour day.

11 (AT 24-25, citations omitted.)

12           Based on the ALJ’s interpretations of Dr. Freund’s opinions and PAMF’s, she formulated  
13 an RFC allowing for light-work with some restrictions, specifically noting plaintiff’s ability to lift  
14 and carry 20 lbs. occasionally/10 lbs. frequently; sit, stand, and walk for 6 hours; and occasionally  
15 perform other physical acts. (AT 20.)

16           Despite agreement with the Commissioner on some issues, the undersigned agrees with  
17 plaintiff on her most-salient point: the ALJ’s resolution of these opinions demonstrates an attempt  
18 to cherry-pick facts. See 42 U.S.C. § 423(d)(5)(B) (requiring an ALJ base the decision on “all the  
19 evidence available in the [record].”); Garrison v. Colvin, 759 F.3d 995, 1009 (9th Cir. 2014) (the  
20 court may not affirm where the ALJ “pick[ed] out a few isolated instances of improvement” to  
21 support the denial of benefits).

22           As of this order, no circuit court has weighed in on whether ALJ’s are now allowed to  
23 cherry-pick the record in making a supportability or consistency finding, or what kinds of  
24 findings explicitly constitute cherry-picking under the new regulations. Recently, however,  
25 numerous district courts across the country have remanded where evidence supporting or  
26 consistent with a rejected medical opinion was ignored. See, e.g., White v Comm’r, 2021 WL  
27 858662, \*20-21 (N.D. Ohio Mar. 8, 2021) (finding the ALJ failed to explain why a physician’s  
28 limiting opinion was not persuasive in the face of evidence that supported and was consistent with

1 the opinion; relying on prior circuit precedent that “[i]f relevant evidence is not mentioned, the  
2 court cannot determine if it was discounted or merely overlooked”); Kaehr v. Saul, 2021 WL  
3 321450, \*2-4 (N.D. Ind. Feb. 1, 2021) (finding the ALJ “cherry-picked evidence, and thus didn’t  
4 provide substantial evidence to support his conclusion,” where the decision did not discuss the  
5 supportability of a physician’s limiting opinion and did not consider the totality of the record in  
6 evaluating the opinion’s consistency; citing prior circuit precedent applicable to the new  
7 regulations); Vellone v. Saul, 2021 WL 319354, \*9-10 (S.D.N.Y. Jan. 29, 2021) (finding the  
8 ALJ’s RFC determination “not supported by substantial evidence” where the ALJ “cherry-picked  
9 treatment notes that supported his RFC determination [at times indicating normal gait and spine]  
10 while ignoring equally, if not more significant evidence [indicated abnormal gait and worsening  
11 lower back pain] in those same records”; relying on cases prohibiting cherry-picking);  
12 Etherington v. Saul, 2021 WL 414556, \*4-5 (N.D. Ind. Jan. 21, 2021) (finding “a good deal in the  
13 record that cuts against [the ALJ’s supportability and consistency] determination,” and noting  
14 “this evidence received no such attention”; relying on prior circuit precedent prohibiting cherry-  
15 picking); Audrey P. v. Saul, 2021 WL 76751, \*9-10 (D.R.I. Jan. 8, 2021) (remanding for further  
16 consideration where “dramatic example[s]” of cherry-picking led the ALJ to ignore a source’s  
17 “overarching conclusion that Plaintiff suffered from significant and unresolved ‘[f]unctional  
18 difficulty includ[ing] standing, sitting, bending over and walking all 2/2 pain’”); Pearce v. Saul,  
19 2020 WL 7585915, \*4-6 (D.S.C. Dec. 22, 2020) (noting the plethora of medical records  
20 supporting and consistent with a physician’s limiting opinion when determining the ALJ cherry-  
21 picked the evidence to discount this opinion, and holding that “[a]lthough the ALJ appears to  
22 have considered the appropriate factors, [she] failed to explain how the evidence supports her  
23 conclusion and meaningful review is frustrated”; relying on recent circuit precedent under the old  
24 regulations stating that “specious inconsistencies cannot reasonably support a rejection of medical  
25 opinions or other evidence”); see also, e.g., Branham v. Comm’r, 2021 WL 1589378, at \*6 (N.D.  
26 Ind. Apr. 23, 2021); Tumlin v. Comm’r, 2021 WL 1214880, at \*10 (M.D. Fla. Mar. 31, 2021);  
27 Drake v. Comm’r, 2021 WL 1214689, at \*4 (N.D. Ohio Mar. 31, 2021).

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1           In comparison to these cases, other district courts have found it entirely appropriate for an  
2           ALJ to articulate a cursory rationale on the supportability and consistency factors where there was  
3           no evidence in the record to support a medical opinion. See, e.g., Olson v. Saul, 2021 WL  
4           1783136, at \*2 (W.D. Wis. May 5, 2021) (recognizing that the the ALJ “may not ignore evidence  
5           that undermines her conclusions,” but finding that plaintiff “doesn’t point to any such  
6           evidence.”); Paula J.S., v. Comm’r, 2021 WL 1019939, at \*4 (W.D. Wash. Mar. 17, 2021)  
7           (“Plaintiff claims the ALJ cherry-picked the record[, but] does not point the Court to any  
8           examples of alleged omissions.”); Jones v. Berryhill, 392 F. Supp. 3d 831, 339 (M.D. Tenn.  
9           2019) (affirming ALJ’s finding that physician’s opinion was unpersuasive because it was “not  
10           supportable or consistent with the record,” where there was no evidence in the entire case record  
11           supporting the physician’s opinion).

12           The undersigned finds the reasoning of the above cases highly persuasive and relevant to  
13           plaintiff’s case. First, there are significant differences between (a) the ALJ’s account of the  
14           opinions expressed by Drs. Hyuhn and Ruo in the prior administrative findings and (b) what those  
15           PAMF’s actually expressed. The ALJ stated these two physicians determined plaintiff could  
16           “stand/walk for 6 hours out of an 8 hour workday.” However, Dr. Hyunh found plaintiff could  
17           stand/walk for 4 hours per day, had push/pull limitations in her lower extremities (which the ALJ  
18           did not discuss), and was ultimately limited to sedentary work. (AT 66-69.) Dr. Ruo expressed  
19           similar findings, except that plaintiff would be limited to “less than sedentary.” (AT 77-82.)  
20           Thus, the record is not completely as the ALJ represents, which requires correction. See, e.g.,  
21           Orn v. Astrue, 495 F.3d 625, 634 (9th Cir. 2007) (finding ALJ’s assessment of a physician’s to  
22           fail the substantial evidence test where “the record shows the opposite” of what the ALJ  
23           described).

24           Second, and more importantly, there is ample “objective medical evidence” (20 C.F.R.  
25           § 404.1502(f)) in the record, from multiple of plaintiff’s “medical sources” (§ 404.1502(d)), that  
26           stretches across a lengthy treatment period and appears to support the more limiting aspects of the  
27           three physicians’ opinions (Drs. Huynh, Ruo, and Freund)—evidence the ALJ (at best) failed to  
28           connect to her persuasiveness findings or (at worst) willfully ignored. The ALJ discounted Dr.

1 Freund's opinion because it contained no diagnosis of meniscal tears, plaintiff's gait was not  
2 abnormal "for much of the relevant period," and records showed full strength in plaintiff's upper  
3 leg. (AT 25.) However, the record clearly shows the presence of meniscal tears. (See, e.g., AT  
4 263-64 (MRI of plaintiff's knee), 1139-51, 1157, 1195-96, 1228-29.) Inexplicably, the ALJ  
5 herself appears to recognize this, noting multiple records demonstrating the tears in her summary  
6 of the medical evidence. (See AT 21-22.) However, there is no connection between this cited  
7 evidence and the ALJ's resolution of Dr. Freund's opinion—only a finding that the form doesn't  
8 recite what the evidence shows. See, e.g., Mark M. M., 2020 WL 2079288 (finding the ALJ  
9 failed to "link purportedly inconsistent evidence with the discounted medical opinion," relying on  
10 Magallanes, 881 F.2d 747 for the proposition that an ALJ must provide a detailed and thorough  
11 summary of conflicting evidence, and an interpretation and findings thereon); see also Roberts v.  
12 Comm'r, 644 F.3d 931, 934 (9th Cir. 2011) (noting that "social security hearings are not meant to  
13 be adversarial in nature[.]"). As to the ALJ's consideration of plaintiff's gait and leg strength, she  
14 references her earlier summary of the medical evidence, where she appears to focus on records  
15 showing normal findings from earlier in plaintiff's treatment period (in 2016 and early 2017).  
16 (See AT 21.) Nevertheless, the ALJ simply fails to discuss other records, from late 2017 through  
17 2018, showing an antalgic gait and reduced strength in the hip and knees. (See, e.g., AT 493,  
18 796, 809, 814, 834.) Finally, the ALJ discounted the PAMF's for the sole reason that she  
19 believed they were "based in part on a finding that [plaintiff] requires a handheld assistive device  
20 for ambulation." Again, this is not wholly accurate. Orn v. Astrue, 495 F.3d at 634. Instead,  
21 both PAMF's stated a "cane may be beneficial with prolong[ed] ambulation and standing." (See  
22 AT 67 and 80.)

23 Thus, it appears the ALJ is attempting to construct an RFC based on an earlier version of  
24 plaintiff, rather than take plaintiff as she is at the time of the decision and consider whether her  
25 conditions were worsening. See Young v. Heckler, 803 F.2d 963, 968 (9th Cir. 1986) (noting that  
26 where the record shows deterioration, the more recent reports may be more probative). In this  
27 way, the ALJ's assessment of the record fails to take account of significant medical evidence.  
28 See 42 U.S.C. § 423(d)(5)(B); Holohan v. Massanari, 246 F.3d 1195, 1205 (9th Cir. 2001) (a

1 physician's statements "must be read in context of the overall diagnostic picture," and an ALJ's  
2 "selective . . . reliance" on the record does not meet the substantial-evidence standard); see also  
3 Hammock v. Bowen, 879 F.2d 498, 501 (9th Cir. 1989) (a court may not affirm by isolating a  
4 "specific quantum of supporting evidence").

5 The court is aware of the general proposition that ALJ's are to resolve ambiguities and  
6 conflicts in the record. Ford, 950 F.3d at 1154. The court is also cognizant of the deference  
7 sought by the agency in promulgating these new regulations. See 82 Fed. Reg. 5844-01 at \*5860  
8 ("[The new regulations are] essential for [the agency's] administration of a massive and complex  
9 nationwide disability program where the need for efficiency is self-evident."). However, the text  
10 of the regulations explicitly require an explanation of how the ALJ considered the supportability  
11 and consistency of an opinion. 20 C.F.R. § 404.1520c(b)(2). Synthesizing this requirement with  
12 prior circuit law prohibiting cherry-picking, the ALJ must explicitly address evidence that  
13 supports and is consistent with a less-than-persuasive medical opinion or PAMF, and should this  
14 evidence fail to persuade, the ALJ must provide legally-sufficient reasons why.<sup>5</sup> See Jones v.  
15 Saul, 2021 WL 620475 \*8 (the ALJ cannot "forego articulation of their reason or reasons  
16 altogether"); see also Lambert, 980 F.3d at 1277 ("[T]he ALJ must provide sufficient reasoning  
17 that allows [for] review."). Simply, there is no room for ignoring significant portions of the  
18 record, despite the seeming malleability of the agency's new standards.

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20 <sup>5</sup> For example, the court notes that Ninth Circuit case law from the pre-2017 hierarchy  
21 would support the ALJ's unpersuasive finding if the opinion conflicted with a plaintiff's hearing  
22 testimony. See also, e.g., Quesada v. Colvin, 525 F. App'x 627, 629–30 (9th Cir. 2013) (finding  
23 the ALJ's rejection of a physician's opinion supported by substantial evidence where it was  
24 inconsistent with plaintiff's own testimony).

25 Similar rejections have been found legally sufficient where the opinion lacked detail—but  
26 only where there were also no medical records supporting the opinion. See, e.g., Magallanes, 881  
27 F.2d at 751 (ALJ need not accept an opinion which is "brief and conclusory in form with little in  
28 the way of clinical findings to support [its] conclusion.").

29 Further, courts have forgiven an ALJ's error as harmless where an error affected the RFC  
30 formulation, but where the VE opined that a plaintiff would be able to find significant work in the  
31 national economy even under a more-restrictive RFC. See Tommasetti v. Astrue, 533 F.3d 1035,  
32 1038 (9th Cir. 2008) ("the court will not reverse an ALJ's decision for harmless error, which  
33 exists when it is clear from the record that the ALJ's error was inconsequential to the ultimate  
34 nondisability determination").

1 As to the ultimate outcome of plaintiff's claim, the undersigned expresses no opinion on  
2 the level of persuasiveness that should be ascribed to Dr. Freund's opinion or to the PAMF's.  
3 Nor does the undersigned express what plaintiff's RFC should ultimately be. These are for the  
4 ALJ to decide. Ford, 950 F.3d at 1154 (the ALJ is responsible for resolving conflicts and  
5 ambiguities in the record). However, because the ALJ cherry-picked facts when resolving the  
6 opinions of Drs. Freund, Hyunh, and Ruo, the proper remedy is remand, where the ALJ may  
7 either (a) reaffirm her decision after a more thorough explanation of why these opinions were  
8 unsupported by and inconsistent with the relevant evidence, or (b) award benefits.

## **ORDER**

10 || Accordingly, IT IS HEREBY ORDERED that:

11 1. The Commissioner's motion for summary judgment (ECF No. 24) is DENIED;  
12 2. Plaintiff's motion for summary judgment (ECF No. 12) is GRANTED;  
13 3. The final decision of the Commissioner is REVERSED AND REMANDED for  
14 further proceedings; and  
15 4. The Clerk of Court shall issue judgment in plaintiff's favor and CLOSE this case.

16 Dated: May 17, 2021

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*Kendall J. Newman*  
KENDALL J. NEWMAN  
UNITED STATES MAGISTRATE JUDGE